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PTO/SB/21 (08-00)

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<h2 style="text-align: center;">TRANSMITTAL FORM</h2> <p style="text-align: center;">(to be used for all correspondence after initial filing)</p>		Application Number	09/843,159				
		Filing Date	April 25, 2001				
		First Named Inventor	LUO, YING				
		Group Art Unit	1652				
		Examiner Name	RAO, MANJUNATH N.				
Total Number of Pages in This Submission		3	Attorney Docket Number	RIGL-010CIP2			
ENCLOSURES (check all that apply)							
<table border="0" style="width: 100%;"> <tr> <td style="vertical-align: top; width: 33%;"> <input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment / Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Documents <input type="checkbox"/> Response to Missing Parts/Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53 </td> <td style="vertical-align: top; width: 33%;"> <input type="checkbox"/> Assignment Papers (for an Application) <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation <input type="checkbox"/> Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) </td> <td style="vertical-align: top; width: 33%;"> <input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): Notification of Change of Entity Status Under 37 CFR 1.28(c)(1&2) Credit Card Payment Form </td> </tr> </table>					<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment / Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Documents <input type="checkbox"/> Response to Missing Parts/Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Assignment Papers (for an Application) <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation <input type="checkbox"/> Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s)	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): Notification of Change of Entity Status Under 37 CFR 1.28(c)(1&2) Credit Card Payment Form
<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment / Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Documents <input type="checkbox"/> Response to Missing Parts/Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Assignment Papers (for an Application) <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation <input type="checkbox"/> Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s)	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): Notification of Change of Entity Status Under 37 CFR 1.28(c)(1&2) Credit Card Payment Form					
Remarks							
SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT							
Firm or Individual Name	JAMES S. KEDDIE, PH.D., Reg. No. 48,920						
Signature							
Date	January 2, 2007						
CERTIFICATE OF FACSIMILE TRANSMISSION							
I hereby certify that this correspondence is being facsimile filed under 37 C.F.R. §§ 1.6(d) and 1.8(a)(1)(b) addressed to: 571-273-8300 on this date: January 2, 2007.							
Typed or printed name	Saundra D. Hunter						
Signature				Date			
			January 2, 2007				

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VIA FACSIMILE 571-273-8300

NOTIFICATION OF CHANGE OF ENTITY STATUS UNDER 37 C.F.R. §1.28(c)(1&2) Address to: Art Unit 1652 Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450	Attorney Docket	RIGL-010CIP2
	First Named Inventor	LUO, YING
	Application Number	09/843,159
	Confirmation No.	8575
	Filing Date	April 25, 2001
	Group Art Unit	1652
	Examiner Name	RAO, MANJUNATH N.

Sir:

This communication is to inform the U.S. Patent and Trademark Office that the above-identified patent application was inadvertently filed as a small entity. An itemized table explaining the fees paid/due is listed below:

<u>Small Entity Filing Fee</u> <u>Paid</u> (Provide Description)	<u>Large Entity Filing</u> <u>Fee</u>	<u>Deficiency</u> <u>Owed</u>
Application Filing Fees \$1,227.00	\$4,800.00	\$3,573.00
Missing Parts Surcharge \$65.00	\$130.00	\$65.00
Four month extension fee \$720.00	\$1,590	\$870.00
Petition to Revive (unintentional) \$1,280.00	\$1,500.00	\$220.00
Two month extension fee \$205.00	\$450.00	\$245.00
Terminal Disclaimer \$55.00	\$130.00	\$75.00
One month extension fee \$55.00	\$120.00	\$65.00

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01/04/2007 SLUANG2 00000009 09843159
01 FC:1501 1400.00 DA

790.00 DP
2000.00 DP
1650.00 DP
360.00 DP
130.00 DP
1590.00 DP
120.00 DP
450.00 DP
130.00 DP

880.00 DA

01/04/2007 SLUANG1

01 FC:1000
02 FC:1200
03 FC:1200
04 FC:1200
05 FC:1051
06 FC:1254
07 FC:1251
08 FC:1252
09 FC:1614
10 FC:1453

Atty Dkt. No.: RIGL-010CIP2
 USSN: 09/843,159

Issue Fee		
\$685.00	\$1,400.00	\$715.00

The difference of \$5,828.00 between the small entity filing fees paid in the above-identified case and the proper large entity fees is being submitted herewith. Please update the Patent Office's records to reflect this application is entitled to large entity status.

The Commissioner is hereby authorized to charge any underpayment of fees associated with this communication or credit any overpayment to Deposit Account No. 50-0815, order number RIGL-010CIP2.

Respectfully submitted,

BOZICEVIC, FIELD & FRANCIS LLP

Date: January 2, 2007

By: 

James S. Keddie, Ph.D.
 Registration No. 48,920

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Adjustment date: 01/04/2007 SLUANG1
 01/28/2002 AOSMAN1 00000030 09843159
 01 FC:201 -370.00 DP
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 04 FC:204 -140.00 DP
 05 FC:205 -65.00 DP

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 01/28/2002 AOSMAN1 00000030 09843159
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 05/01/2002 GTEFFERA 00000153 061300 09843159
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 09/23/2003 WABDELRI 00000102 500815 09843159
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 03/15/2004 HLE333 00000062 500815 09843159
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